

# United States Patent and Trademark Office



| APPLICATION NO. FILING DATE                  |            | DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|--|------------|------------|----------------------|---------------------|-----------------|
| 09/674,779                                   | 01/03/2001 |            | Jean-Louis Ruelle    | BM45311             | 5330            |
| 25308 7                                      | 590        | 05/07/2003 |                      |                     |                 |
| DECHERT<br>ATTN: ALLEN BLOOM, ESQ            |            |            |                      | EXAMINER            |                 |
| 4000 BELL ATLANTIC TOWER<br>1717 ARCH STREET |            |            |                      | BASKAR, PADMAVATHI  |                 |
| PHILADELPHIA, PA 19103                       |            |            |                      | ART UNIT            | PAPER NUMBER    |
|  |            |            |                      | 1645                | 15              |

Please find below and/or attached an Office communication concerning this application or proceeding.

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#### Sequence Requirements

1. This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. 1.821-1.825 for the reason(s) set forth below:

Applicant has submitted a new computer readable form CFR of the sequence listing in Paper # 14 (1/29/03) in response to Office action 9/20/02, Paper # 11. However, the CRF could not be processed by the Scientific and Technical Information Center (STIC) for the reasons set forth on the attached CRF Problem Report.

- 2. The specification on page 70 discloses two amino acid sequences. However, these sequences are not identified by Sequence identification Numbers. Therefore, these sequences are not in compliance with the sequence rules.
- 3. Full compliance with the sequence rules is required in response to this office action.
- 4. APPLICANT IS GIVEN A ONE MONTH EXTENDABLE PERIOD WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 36 CFR 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Applicant is requested to return a copy of the attached Notice to Comply with the response.
- 5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Padma Baskar whose telephone number is (703) 308-8886. The examiner can normally be reached on Monday through Friday from 6:30 AM to 4 PM EST

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

Lynette Smith, can be reached on (703) 308-3909. The fax phone number for the organization

where this application or proceeding is assigned is (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application or proceeding should

be directed to the receptionist whose telephone number is (703) 308-1235.

Padma Baskar Ph.D.

5/3/03

LYNETTE R. F. SMITH
SUPERVISORY PATENT EXAMINE:
TECHNOLOGY CENTER

Application No.: <u>-09/674779</u>

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

| 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.  |
|---|
| 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).   |
| 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).   |
| 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." |
| 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).               |
| 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).  |
| 7. Other: please see attachednote   |
| Applicant Must Provide:   |
| An <u>initial</u> or substitute computer readable form (CRF) copy of the "Sequence Listing".  |
| An <u>initial</u> or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.   |
| A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).  |
| For questions regarding compliance to these requirements, please contact:   |
| For Rules Interpretation, call (703) 308-4216   |

For CRF Submission Help, call (703) 308-4212

For Patentin software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

## **CRF** Problem Report

Re-RUN



The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: Filing Date: Date Processed by STIC:

STIC Contact: Mark Spencer, 703-308-4212

#### Nature of Problem:

| The C        | CRF (was): 120 ( f. d  |  |
|--------------|--|--|
| }            | Media  |  |
| <u>, U</u> ( | (circle one) Damaged or Unreadable (1                                      | for Unreadable, see attached)                        |
|              | Blank (no files on CRF) (see attached)                                     | ,  |
|              | Empty file (filename present, but no by                                    | ytes in file) (see attached)                         |
|              | Virus-infected. Virus name:  | The STIC will not process the CRF                    |
|              | Not saved in ASCII text  |  |
|              | Sequence Listing was embedded in the submitted file should only be the Sec | e file. According to Sequence Rules, quence Listing. |
|              | Did not contain a Sequence Listing. (s Other:                              |  |
|              |  |  |

### PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual, ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- 3. Hand Carry directly to:

U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

Or U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002